

## PERIODIC POINTS OF MULTI-VALUED $\varepsilon$ -CONTRACTIVE MAPS

SAM B. NADLER JR.

---

*Dedicated to Professor Andrzej Granas*

ABSTRACT. Let  $(X, d)$  be a nonempty metric space, and let  $(2^X, H_d)$  be the hyperspace of all nonempty compact subsets of  $X$  with the Hausdorff metric. Let  $F: X \rightarrow 2^X$  be an  $\varepsilon$ -contractive map. A general condition is given that guarantees the existence of a periodic point of  $F$  (the theorem extends a result of Edelstein to multi-valued maps). The condition holds when  $X$  is compact; hence,  $F$  has a periodic point when  $X$  is compact. It is shown that  $F$  has a fixed point (a point  $p \in F(p)$ ) if  $X$  is a continuum. Applications to single-valued  $\varepsilon$ -expansive maps are given.

### 1. Introduction

Edelstein in [2] proved the following two results (definitions are in Section 2): Let  $(X, d)$  be a metric space, and let  $f: X \rightarrow X$  be a map such that for some point  $x \in X$ , some subsequence of the sequence  $\{f^n(x)\}_{n=1}^\infty$  of iterates converges to a point  $p \in X$ . If  $f$  is contractive, then  $p$  is a fixed point of  $f$ ; if  $f$  is  $\varepsilon$ -contractive, then  $p$  is a periodic point of  $f$ .

Edelstein's fixed point result for contractive maps was extended to multi-valued maps in [4, p. 664]; however, Edelstein's periodic point result for  $\varepsilon$ -contractive maps was not extended to multi-valued maps in [4]. As a co-author of [4], I can affirm that Edelstein's result for  $\varepsilon$ -contractive maps was not extended to multi-valued maps for the simple reason that we could not prove the

---

2000 *Mathematics Subject Classification*. Primary 54C60, 54H25; Secondary 54B20.

*Key words and phrases*. Continuum, contractive map,  $\varepsilon$ -contractive map,  $\varepsilon$ -expansive map, Hausdorff metric, fixed point, hyperspace, multi-valued map, open map, periodic point.

©2003 Juliusz Schauder Center for Nonlinear Studies

extended version. In this paper we prove the generalization to multi-valued  $\varepsilon$ -contractive maps of Edelstein's result for single-valued  $\varepsilon$ -contractive maps; we also prove a fixed point theorem for multi-valued  $\varepsilon$ -contractive maps, and we give applications to single-valued  $\varepsilon$ -expansive maps. Our main results are Theorem 3.2, Corollary 3.3 and Theorem 4.3; our applications are in Theorem 5.2 and Theorem 5.3.

Theorem 3.2 is for multi-valued maps whose values are nonempty compact sets; we will show at the end of Section 3 that the theorem does not generalize to maps whose values are nonempty, closed and bounded sets.

## 2. Definitions and preliminary results

We present the basic terminology and notation; we then include a few minor results that we use several times.

Throughout the paper,  $X$  denotes a nonempty metric space with a given metric  $d$ . For a point  $x \in X$  and a nonempty subset  $A$  of  $X$ ,

$$d(x, A) = \inf_{a \in A} d(x, a)$$

A *continuum* is a nonempty compact connected metric space. A *map* is a continuous function.

The hyperspaces  $CB(X)$  and  $2^X$  are the spaces

$$CB(X) = \{A \subset X : A \text{ is nonempty, closed and bounded}\}$$

and

$$2^X = \{A \subset X : A \text{ is nonempty and compact}\}$$

with the *Hausdorff metric*  $H_d$  induced by the metric  $d$ , defined by

$$H_d(A, B) = \max\{\sup_{a \in A} d(a, B), \sup_{b \in B} d(b, A)\}.$$

This definition of  $H_d$  is equivalent to another frequently given definition [5, p. 11] (also, 2.7 of [5, p. 14]).

For any map  $F: X \rightarrow 2^X$ , there is a natural *induced map*  $\widehat{F}$  defined on  $2^X$  as follows: For each  $A \in 2^X$ ,

$$\widehat{F}(A) = \bigcup_{a \in A} F(a) = \bigcup F(A)$$

(see Proposition 2.2).

Let  $\varepsilon > 0$ . A map  $f: X \rightarrow X$  is said to be *contractive* ( $\varepsilon$ -*contractive*) provided that for all  $x, y \in X$  with  $x \neq y$  (and  $d(x, y) < \varepsilon$ , respectively),  $d(f(x), f(y)) < d(x, y)$  (see [2]). A *multi-valued contractive* ( $\varepsilon$ -*contractive*) *map* is a map  $F: X \rightarrow CB(X)$  such that for all  $x, y \in X$  with  $x \neq y$  (and  $d(x, y) < \varepsilon$ , respectively),  $H_d(F(x), F(y)) < d(x, y)$ .

Confusion between the single-valued and multi-valued notions we just defined could occur in connection with the induced map  $\widehat{F}$  since  $\widehat{F}$  maps to a hyperspace. When we say  $\widehat{F}$  is contractive or  $\varepsilon$ -contractive, we always mean in the single-valued sense. We remind the reader of this in two ways: we always include the modifier *multi-valued* when referring to a multi-valued map, and we often include the domain and range of a map to emphasize the type of map being considered. The distinction between multi-valued maps to hyperspaces and single-valued maps of hyperspaces to hyperspaces is important when it comes to considering fixed points and periodic points (defined below).

Let  $Z$  be a set, let  $f: Z \rightarrow Z$  be a function, and let  $n \geq 1$  be an integer. Then  $f^n$  denotes the  $n$ -th iterate of  $f$  (i.e. the composition  $f \circ \dots \circ f$  of  $f$  with itself  $n - 1$  times).

A *periodic point of a (single-valued) map*  $f: X \rightarrow X$  is a point  $p \in X$  such that  $f^n(p) = p$  for some integer  $n \geq 1$ .

A *fixed point of a multi-valued map*  $F: X \rightarrow CB(X)$  is a point  $p \in X$  such that  $p \in F(p)$ .

A *periodic point of a multi-valued map*  $F: X \rightarrow 2^X$  is a point  $p \in X$  such that  $p \in \widehat{F}^n(\{p\})$  for some integer  $n \geq 1$ . When  $F$  maps  $X$  to  $CB(X)$ , the definition of a periodic point must be done more carefully since the analogue of  $\widehat{F}$  for  $CB(X)$  may not map to  $CB(X)$ :  $p$  is a *periodic point of*  $F: X \rightarrow CB(X)$  provided that there are finitely many points  $p_0 = p, p_1, \dots, p_n$  such that  $p_i \in F(p_{i-1})$  for each  $i = 1, \dots, n$  and  $p \in F(p_n)$ .

We often use one or another of the four propositions below. For a proof of the following result, see 3.5 of [5, p. 18] or 4.13 of [7, p. 59].

PROPOSITION 2.1. *If  $Z$  is a compact metric space, then  $2^Z$  is compact.*

PROPOSITION 2.2. *If  $F: X \rightarrow 2^X$  is a map, then  $\widehat{F}$  maps  $2^X$  back into  $2^X$  and is continuous.*

PROOF. Since  $F$  is continuous,  $\widehat{F}$  maps  $2^X$  back into  $2^X$  because the union of a compact subset of  $2^X$  is compact [5, 11.5(1), p. 91]. Since  $F$  and the union map  $\cup: 2^{2^X} \rightarrow 2^X$  are continuous [5, 11.5(2), p. 91]), we see that  $\widehat{F}$  is continuous.  $\square$

PROPOSITION 2.3. *Let  $Y \in 2^X$ . If  $F: X \rightarrow 2^X$  is a map such that  $\widehat{F}^m(Y) \subset Y$  for some integer  $m \geq 1$ , then  $\widehat{F}^m|_{2^Y}$  maps  $2^Y$  to  $2^Y$ .*

PROOF. We prove the result for the case when  $m = 1$ ; the result for any  $m$  then follows using Proposition 2.2 (with  $X = Y$ ).

For any  $A \in 2^Y$ ,  $\widehat{F}(A) = \bigcup_{a \in A} F(a) \subset \bigcup_{y \in Y} F(y) = \widehat{F}(Y)$ ; thus, since  $\widehat{F}(Y) \subset Y$  by assumption,  $\widehat{F}(A) \subset Y$ . Therefore, since  $\widehat{F}(A) \in 2^X$  by Proposition 2.2,  $\widehat{F}(A) \in 2^Y$ .  $\square$

PROPOSITION 2.4. *If  $F: X \rightarrow 2^X$  is a multi-valued  $\varepsilon$ -contractive map, then  $\widehat{F}^m: 2^X \rightarrow 2^X$  is an  $\varepsilon$ -contractive map for each integer  $m \geq 1$ .*

PROOF. First note that  $\widehat{F}^m$  does map  $2^X$  to  $2^X$  by Proposition 2.2.

We prove that  $\widehat{F}^m$  is  $\varepsilon$ -contractive when  $m = 1$ ; the proof for any  $m$  is then an easy induction which we omit.

Let  $A, B \in 2^X$  such that  $A \neq B$  and  $H_d(A, B) < \varepsilon$ . By the symmetry in the definition of  $H_d$ , we need only prove that

$$(*) \quad \sup_{x \in \widehat{F}(A)} d(x, \widehat{F}(B)) < H_d(A, B).$$

Let  $x \in \widehat{F}(A)$ . Then  $x \in F(a_0)$  for some  $a_0 \in A$ . Hence,

$$(1) \quad d(x, F(b_0)) \leq H_d(F(a_0), F(b_0)).$$

Since  $a_0 \in A$ ,  $d(a_0, B) \leq H_d(A, B)$ . Let  $b_0 \in B$  such that  $d(a_0, b_0) = d(a_0, B)$ . Then  $d(a_0, b_0) \leq H_d(A, B)$ . Hence,  $d(a_0, b_0) < \varepsilon$ . Thus, considering the cases when  $a_0 \neq b_0$  and when  $a_0 = b_0$  separately, we have that

$$(2) \quad H_d(F(a_0), F(b_0)) < H_d(A, B).$$

Since  $b_0 \in B$ ,  $F(b_0) \subset \widehat{F}(B)$ ; hence, clearly,  $d(x, \widehat{F}(B)) \leq d(x, F(b_0))$ . Thus, by (1) and (2), we have

$$(3) \quad d(x, \widehat{F}(B)) < H_d(A, B).$$

Finally, note that  $\widehat{F}(A)$  is compact by Proposition 2.2; therefore, having proved (3) for all  $x \in \widehat{F}(A)$ , (\*) follows from the compactness of  $\widehat{F}(A)$ .  $\square$

### 3. Existence of periodic points

The following lemma is elementary; nevertheless, it is a key observation for the proof of our main theorem (Theorem 3.2).

LEMMA 3.1. *If  $X$  is compact and  $f: X \rightarrow X$  is an  $\varepsilon$ -contractive map, then  $f$  has only finitely many periodic points.*

PROOF. Assume that  $p$  and  $q$  are periodic points of  $f$  with  $p \neq q$  such that  $d(p, q) < \varepsilon$ . Let  $k, \ell \geq 1$  be integers such that  $f^k(p) = p$  and  $f^\ell(q) = q$ . Note that  $p = f^{k\ell}(p)$  and  $q = f^{k\ell}(q)$ . Thus, since  $f^{k\ell}$  is  $\varepsilon$ -contractive,

$$d(p, q) = d(f^{k\ell}(p), f^{k\ell}(q)) < d(p, q),$$

which is impossible. Therefore, we have proved that any two periodic points of  $f$  must be at least  $\varepsilon$  apart. The lemma now follows from the compactness of  $X$ .  $\square$

THEOREM 3.2. *Let  $F: X \rightarrow 2^X$  be a multi-valued  $\varepsilon$ -contractive map. Assume that for some  $A \in 2^X$ , a subsequence  $\{\widehat{F}^{n_i}(A)\}_{i=1}^\infty$  of  $\{\widehat{F}^n(A)\}_{n=1}^\infty$  converges to*

a point  $B \in 2^X$ . Then there is a point  $b_0 \in B$  such that  $b_0$  is a periodic point of  $F$ .

PROOF. By Proposition 2.4,  $\widehat{F}: 2^X \rightarrow 2^X$  is an  $\varepsilon$ -contractive map. Hence, by Theorem 2 of [2],  $B$  is a periodic point of  $\widehat{F}$ , say  $\widehat{F}^k(B) = B$  ( $k$  a positive integer).

Since  $B \in 2^X$  and  $\widehat{F}^k(B) = B$ , we see by Proposition 2.3 that  $\widehat{F}^k|_{2^B}$  maps  $2^B$  to  $2^B$ ; furthermore, by Proposition 2.4,  $\widehat{F}^k|_{2^B}: 2^B \rightarrow 2^B$  is  $\varepsilon$ -contractive. Thus, since  $2^B$  is compact (Proposition 2.1), we see from Lemma 3.1 that  $\widehat{F}^k|_{2^B}$  has only finitely many periodic points, say  $B_1 = B, B_2, \dots, B_n$ . At least one of the sets  $B_1, \dots, B_n$  does not contain any of the others. Since we will have no further use for the assumption in our theorem that  $\{\widehat{F}^{n_i}(A)\}_{i=1}^\infty \rightarrow B$ , we can assume without loss of generality that  $B$  itself is such a minimal set; that is, no compact proper subset of  $B$  is a periodic point of  $\widehat{F}^k$ .

Let  $p \in B$ . Note that  $\widehat{F}^{kn}(B) = B$  for each integer  $n \geq 1$ ; hence, by Proposition 2.3,  $\widehat{F}^{kn}(\{p\}) \in 2^B$  for each integer  $n \geq 1$ . Thus, since  $2^B$  is compact (by Proposition 2.1), the sequence  $\{\widehat{F}^{kn}(\{p\})\}_{n=1}^\infty$  has a convergent subsequence  $\{\widehat{F}^{kn_i}(\{p\})\}_{i=1}^\infty$ , say

$$\{\widehat{F}^{kn_i}(\{p\})\}_{i=1}^\infty \rightarrow C \in 2^B.$$

Then, since  $\widehat{F}^k|_{2^B}: 2^B \rightarrow 2^B$  is  $\varepsilon$ -contractive, Theorem 2 of [2] gives us that  $C$  is a periodic point of  $\widehat{F}^k$ . Therefore, since  $C$  is a compact subset of  $B$ ,  $C = B$  by the minimality of  $B$ . Hence,

$$\{\widehat{F}^{kn_i}(\{p\})\}_{i=1}^\infty \rightarrow B.$$

Therefore, there is an integer  $\ell \geq 1$  such that  $d(p, \widehat{F}^{kn_\ell}(\{p\})) < \varepsilon$ .

Since we will use the map  $\widehat{F}^{kn_\ell}|_{2^B}$  many times throughout the rest of the proof, let us denote  $\widehat{F}^{kn_\ell}|_{2^B}$  by  $G$  and list three relevant properties of  $G$  that we already know:

- (1)  $G$  maps  $2^B$  to  $2^B$  (by Proposition 2.3 since  $\widehat{F}^k(B) = B$ ),
- (2)  $G$  is  $\varepsilon$ -contractive (by Proposition 2.4),
- (3)  $d(p, G(\{p\})) < \varepsilon$  (by our choice of  $\ell$ ).

Now, let  $r = \inf_{b \in B} d(b, G(\{b\}))$ . Note from (3) the following important fact:

- (4)  $r < \varepsilon$ .

Since  $B$  is compact and  $G$  is continuous, we see that

- (5)  $r = d(b_0, G(\{b_0\}))$  for some point  $b_0 \in B$ .

We show that the point  $b_0$  in (5) satisfies the conclusion of our theorem. Since we already know that  $b_0 \in B$  (by (5)), we are left to show that  $b_0$  is a periodic

point of  $F$ . We show this by proving that

$$(*) \quad r = 0.$$

Since  $G(\{b_0\})$  is nonempty and compact (by (1)), we see from (5) that

$$(6) \quad r = d(b_0, y) \text{ for some point } y \in G(\{b_0\}).$$

*Proof of (\*).* Now, suppose by way of contradiction that (\*) is false, i.e.  $r > 0$ . Then, by (6),  $b_0 \neq y$ ; in addition,  $d(b_0, y) < \varepsilon$  by (4) and (6). Hence, by (2) and (6), we have that

$$(7) \quad H_d(G(\{b_0\}), G(\{y\})) < d(b_0, y) = r.$$

Since  $y \in G(\{b_0\})$  (by (6)), it follows from the definition of  $H_d$  that

$$d(y, G(\{y\})) \leq H_d(G(\{b_0\}), G(\{y\})),$$

hence, by (7), we have that

$$(8) \quad d(y, G(\{y\})) < r.$$

Now, note that  $y \in B$  (since  $y \in G(\{b_0\}) \subset B$  by (6) and (1)). Thus, (8) contradicts the fact that  $r = \inf_{b \in B} d(b, G(\{b\}))$ . Therefore, we have proved (\*).

Finally, since  $r = 0$ , we see from (5) that  $b_0 \in G(\{b_0\}) = \widehat{F}^{kn_\varepsilon}(\{b_0\})$ , which proves that  $b_0$  is a periodic point of  $F$ .  $\square$

**COROLLARY 3.3.** *If  $X$  is compact and  $F: X \rightarrow 2^X$  is a multi-valued  $\varepsilon$ -contractive map, then  $F$  has a periodic point.*

**PROOF.** There exists  $A \in 2^X$  (recall from section 2 that  $X \neq \emptyset$ ). Therefore, since  $2^X$  is compact (Proposition 2.1), the corollary follows from Theorem 3.2.  $\square$

We prove in the next section that the map  $F$  in Corollary 3.3 has a fixed point when  $X$  is a continuum.

The generalization of the Banach Contraction Mapping Theorem to multi-valued maps with values in the general space  $CB(X)$  was proved in Theorem 5 of [8, p. 479]. However, our Theorem 3.2 would be false for maps with values in  $CB(X)$ .

**EXAMPLE 3.4.** The map  $F: X \rightarrow CB(X)$  in the example in [4, p. 665] is contractive (hence  $\varepsilon$ -contractive for any  $\varepsilon > 0$ ). For a particular point, denoted by  $y$  in [4], the sequence  $\{\widehat{F}^n(\{y\})\}_{n=1}^\infty$  of iterates in  $CB(X)$  is constant, hence convergent. However, it is easy to see that the map  $F$  has no periodic point.

#### 4. A Fixed Point Theorem

In Corollary 3.3 we proved that if  $X$  is compact and  $F: X \rightarrow 2^X$  is a multi-valued  $\varepsilon$ -contractive map, then  $F$  has a periodic point. Simple examples show that even single-valued  $\varepsilon$ -contractive selfmaps of compact metric spaces may

not have fixed points (e.g. the fixed point free map of  $\{0, 1\}$  onto  $\{0, 1\}$  is 1-contractive). Nevertheless, we prove in Theorem 4.3 that when  $X$  is a continuum, a multi-valued  $\varepsilon$ -contractive map  $F: X \rightarrow 2^X$  must have a fixed point. Theorem 4.3 for single-valued  $\varepsilon$ -contractive maps follows from 6.2 of [2, p. 78].

We have tried, but failed, to obtain Theorem 4.3 directly from Theorem 3.2 and Corollary 3.3. The proof we give is based on combining a few facts and techniques in the literature. In essence, the proof is a matter of adjusting part of the proof of Theorem 6 of [8]; the adjustment is made possible by a fact from a proof in [9, p. 216].

We often consider another metric on  $X$  along with the original metric  $d$ . For clarity (in this section only), we write  $(X, d)$  to remind the reader that  $d$  denotes the original metric.

We need a definition and some notation.

Let  $x, y \in X$ . A  $\delta$ -chain in  $X$  from  $x$  to  $y$  is a finite indexed set of points  $x_0 = x, x_1, \dots, x_n = y$  of  $X$  such that  $d(x_i, x_{i+1}) \leq \delta$  for all  $i = 0, \dots, n - 1$  (the usual condition is  $d(x_i, x_{i+1}) < \delta$ , but the last part of Lemma 4.1 is easier to state if we allow  $d(x_i, x_{i+1})$  to be  $\delta$ ). We denote the collection of all  $\delta$ -chains in  $X$  from  $x$  to  $y$  by  $\mathcal{C}_\delta(x, y)$ .

Let  $(X, d)$  be a continuum, and let  $\delta > 0$ . Define  $d_\delta: X \times X \rightarrow \mathbb{R}^1$  as follows:

$$d_\delta(x, y) = \inf \left\{ \sum_{i=0}^{n-1} d(x_i, x_{i+1}) : \{x_0, \dots, x_n\} \in \mathcal{C}_\delta(x, y) \right\}.$$

The idea of using  $d_\delta$  in connection with changing local Lipschitz maps to global Lipschitz maps seems to have originated in 2.34 of [3, p. 691] (although the germ of the idea is apparent in the proof of the Proposition in [1, p. 8]). The idea was used in [8, p. 481] and then in [9, p. 216].

The lemma below summarizes the general properties of  $d_\delta$ , its relation to  $d$ , and the relation of  $H_{d_\delta}$  to  $H_d$ . For a proof of the parts of the lemma not involving the Hausdorff metrics, see [9, pp. 216–217]; the part involving the Hausdorff metrics is easy (as was noted in the proof of Theorem 6 of [4]).

LEMMA 4.1. *Let  $(X, d)$  be a continuum, and let  $\delta > 0$ . Then  $d_\delta$  is a metric giving the topology on  $X$ ,  $d \leq d_\delta$ ,  $d(x, y) = d_\delta(x, y)$  if  $d(x, y) < \delta$ , and  $H_{d_\delta}(A, B) = H_d(A, B)$  for all  $A, B \in 2^X$  such that  $H_d(A, B) < \delta$ . Furthermore, for any points  $x, y \in X$ , there exists  $\{x_0, \dots, x_n\} \in \mathcal{C}_\delta(x, y)$  such that  $d_\delta(x, y) = \sum_{i=0}^{n-1} d(x_i, x_{i+1})$ .*

The following theorem is the multi-valued analogue of Theorem 2.1 of [9] (in the presence of compactness, locally contractive as defined in [9] is the same as  $\varepsilon$ -contractive for some  $\varepsilon > 0$ , as is readily seen using Lebesgue numbers of covers [6, p. 24]).

**THEOREM 4.2.** *Let  $(X, d)$  be a continuum, and let  $F: X \rightarrow 2^X$  be a multi-valued  $\varepsilon$ -contractive map with respect to  $H_d$  and  $d$ . Then, for any  $\delta$  such that  $0 < \delta < \varepsilon$ ,  $F$  is a multi-valued contractive map with respect to the metrics  $H_{d_\delta}$  and  $d_\delta$ .*

**PROOF.** Fix  $\delta$  such that  $0 < \delta < \varepsilon$ . Let  $x, y \in X$  such that  $x \neq y$ . By Lemma 4.1, there exists  $\{x_0, \dots, x_n\} \in \mathcal{C}_\delta(x, y)$  with  $x_i \neq x_{i+1}$  for each  $i \leq n-1$  such that

$$(1) \quad d_\delta(x, y) = \sum_{i=0}^{n-1} d(x_i, x_{i+1}).$$

Since  $d(x_i, x_{i+1}) < \varepsilon$  and  $x_i \neq x_{i+1}$  for each  $i$ , and since  $F: X \rightarrow 2^X$  is a multi-valued  $\varepsilon$ -contractive map with respect to  $H_d$  and  $d$ , we have

$$(2) \quad H_d(F(x_i), F(x_{i+1})) < d(x_i, x_{i+1}) \text{ for each } i \leq n-1.$$

Since  $d(x_i, x_{i+1}) \leq \delta$  for each  $i$ , (2) gives us that  $H_d(F(x_i), F(x_{i+1})) < \delta$  for each  $i$ ; hence, by Lemma 4.1, we have

$$(3) \quad H_{d_\delta}(F(x_i), F(x_{i+1})) = H_d(F(x_i), F(x_{i+1})) \text{ for each } i \leq n-1.$$

Now, using the triangle inequality, then using (3), (2) and (1) in turn,

$$\begin{aligned} H_{d_\delta}(F(x), F(y)) &\leq \sum_{i=0}^{n-1} H_{d_\delta}(F(x_i), F(x_{i+1})) \\ &= \sum_{i=0}^{n-1} H_d(F(x_i), F(x_{i+1})) < \sum_{i=0}^{n-1} d(x_i, x_{i+1}) = d_\delta(x, y). \quad \square \end{aligned}$$

**THEOREM 4.3.** *If  $X$  is a continuum and  $F: X \rightarrow 2^X$  is a multi-valued  $\varepsilon$ -contractive map, then  $F$  has a fixed point.*

**PROOF.** Fix  $\delta$  such that  $0 < \delta < \varepsilon$ . Since  $X$  with its original metric  $d$  is compact,  $(X, d_\delta)$  is compact by Lemma 4.1. Hence,  $(2^X, H_{d_\delta})$  is compact by Proposition 2.1. Therefore, the theorem follows from Theorem 4.2 and Theorem 4 of [4].  $\square$

## 5. Applications to single-valued $\varepsilon$ -expansive maps

Let  $Y \subset X$ , and let  $\varepsilon > 0$ . A map  $f: Y \rightarrow X$  is said to be  $\varepsilon$ -expansive provided that for all  $y_1, y_2 \in Y$  such that  $y_1 \neq y_2$  and  $d(y_1, y_2) < \varepsilon$ ,  $d(f(y_1), f(y_2)) > d(y_1, y_2)$ .

An *open map* of a space  $Y$  onto a space  $X$  is a continuous function that takes open sets in  $Y$  onto open sets in  $X$ .

Using Corollary 3.3 and Theorem 4.3, we prove theorems about the existence of periodic points and fixed points of  $\varepsilon$ -expansive open maps. The theorems are related to Theorems 7 and 8 of [8] and to Theorem 3.0 of Rosenholtz (see [9]). We state Rosenholtz's theorem and make specific comments about its relation to our theorems after the proof of Theorem 5.2.



LEMMA 5.1. *Let  $X$  be compact, let  $Y$  be a nonempty compact subset of  $X$ , and let  $p \in X$ . If  $f: Y \rightarrow X$  is a map of  $Y$  onto  $X$ , then  $f^n[\widehat{f^{-1}^n}(\{p\})] = p$  for each integer  $n \geq 1$ .*

PROOF. The proof is by induction. For  $n = 1$ ,  $\widehat{f^{-1}}(\{p\}) = \bigcup_{a \in \{p\}} f^{-1}(a) = f^{-1}(p)$ ; therefore,  $f[\widehat{f^{-1}}(\{p\})] = p$ .

Now, assume inductively that  $f^n[\widehat{f^{-1}^n}(\{p\})] = p$  for some integer  $n \geq 1$ . Note that

$$\widehat{f^{-1}^{n+1}}(\{p\}) = \widehat{f^{-1}}[\widehat{f^{-1}^n}(\{p\})] = \bigcup_{a \in \widehat{f^{-1}^n}(\{p\})} f^{-1}(a).$$

Hence, if  $x \in \widehat{f^{-1}^{n+1}}(\{p\})$ , then  $x \in f^{-1}(a_0)$  for some  $a_0 \in \widehat{f^{-1}^n}(\{p\})$ . Thus,  $f(x) = a_0$  and, by our inductive assumption,  $f^n(a_0) = p$ ; therefore,  $f^{n+1}(x) = p$ . This proves that  $f^{n+1}[\widehat{f^{-1}^{n+1}}(\{p\})] = p$ .  $\square$

THEOREM 5.2. *Let  $X$  be compact, and let  $Y$  be a nonempty compact subset of  $X$ . If  $f: Y \rightarrow X$  is an  $\varepsilon$ -expansive open map of  $Y$  onto  $X$ , then  $f$  has a periodic point.*

PROOF. Since  $f: Y \rightarrow X$  is an open map of  $Y$  onto  $X$ ,  $f^{-1}: X \rightarrow 2^Y$  is continuous [7, p. 280]. Thus, since  $X$  is compact,  $f^{-1}$  is uniformly continuous. Hence, there exists  $\delta > 0$  such that

$$(1) \quad H_d(f^{-1}(x_1), f^{-1}(x_2)) < \varepsilon \text{ for all } x_1, x_2 \in X \text{ such that } d(x_1, x_2) < \delta.$$

We show that  $f^{-1}: X \rightarrow 2^Y$  is a multi-valued  $\delta$ -contractive map. Fix points  $x_1, x_2 \in X$  such that  $x_1 \neq x_2$  and  $d(x_1, x_2) < \delta$ . It follows from the definition of the Hausdorff metric that there are points  $y_1 \in f^{-1}(x_1)$  and  $y_2 \in f^{-1}(x_2)$  such that

$$(2) \quad H_d(f^{-1}(x_1), f^{-1}(x_2)) = d(y_1, y_2).$$

Since  $d(x_1, x_2) < \delta$ , we see from (1) and (2) that  $d(y_1, y_2) < \varepsilon$ ; also,  $y_1 \neq y_2$  since  $x_1 \neq x_2$ . Thus, since  $f$  is  $\varepsilon$ -expansive,  $d(f(y_1), f(y_2)) > d(y_1, y_2)$ . Therefore, since  $f(y_i) = x_i$ , we see from (2) that

$$H_d(f^{-1}(x_1), f^{-1}(x_2)) < d(f(y_1), f(y_2)) = d(x_1, x_2).$$

This proves that  $f^{-1}$  is a multi-valued  $\delta$ -contractive map.

We can now apply Corollary 3.3 to see that  $f^{-1}$  has a periodic point  $p$ . This means that for some integer  $n \geq 1$ ,

$$p \in \widehat{f^{-1}^n}(\{p\}).$$

Therefore,  $f^n(p) = p$  by Lemma 5.1.  $\square$

Rosenholtz [9, p. 217] proved the following result: *An  $\varepsilon$ -expansive open map of a continuum onto itself has a fixed point.* (Rosenholtz's theorem is stated for

locally expansive maps; however, for compact spaces, locally expansive as defined in [9] is equivalent to  $\varepsilon$ -expansive for some  $\varepsilon > 0$ , as is seen using Lebesgue numbers of covers [6, p. 24].)

In comparing Theorem 5.2 with Rosenholtz's theorem, we find it particularly interesting that when connectedness is dropped from Rosenholtz's theorem, the first cousins of fixed points – periodic points – still exist, and this happens even when the map  $f$  is not defined on all of  $X$ .

Our next theorem shows that Rosenholtz's theorem can be extended to the situation when the map is not defined on the entire continuum; in fact, we do not even require the domain of the map to be a continuum (see the last comment below).

**THEOREM 5.3.** *Let  $X$  be continuum, and let  $Y$  be a nonempty compact subset of  $X$ . If  $f: Y \rightarrow X$  is an  $\varepsilon$ -expansive open map of  $Y$  onto  $X$ , then  $f$  has a fixed point.*

**PROOF.** As in the proof of Theorem 5.2, there exists  $\delta > 0$  such that  $f^{-1}: X \rightarrow 2^Y$  is a multi-valued  $\delta$ -contractive map. Therefore, by Theorem 4.3,  $f^{-1}$  has a fixed point  $p$ . Obviously,  $p$  is a fixed point of  $f$ .  $\square$

Theorems 5.2 and 5.3 have applications to  $n$ -manifolds that are similar to but more general than the results in [10, p. 3]. The statements of the applications we have in mind are straightforward adjustments of the results in [10, p. 3], so we do not state them here.

It is necessary for  $f$  to be open in Theorem 5.3, as is seen from the example in [10, p. 4]. However, we do not know if it is necessary for  $f$  to be open in Theorem 5.2.

Note that even though we do not require  $Y$  in Theorem 5.3 to be a continuum, there must be a component  $C$  of  $Y$  that maps onto  $X$  (by 13.14 of [7, p. 284]); however,  $f|C$  may not be an open map. Thus, recalling that Theorem 5.3 would be false without requiring  $f$  to be open, Theorem 5.3 is of interest in the generality stated.

#### REFERENCES

- [1] M. EDELSTEIN, *An extension of Banach's contraction principle*, Proc. Amer. Math. Soc. **12** (1961), 7–10.
- [2] ———, *On fixed and periodic points under contractive mappings*, J. London Math. Soc. **37** (1962), 74–79.
- [3] ———, *On nonexpansive mappings*, Proc. Amer. Math. Soc. **15** (1964), 689–695.
- [4] R. B. FRASER JR. AND SAM B. NADLER JR., *Sequences of contractive maps and fixed points*, Pacific J. Math. **31** (1969), 659–667.

- [5] A. ILLANES AND S. B. NADLER JR., *Hyperspaces: Fundamentals and Recent Advances*, Monographs and Textbooks in Pure and Applied Math., vol. 216, Marcel Dekker, Inc., New York and Basel, 1999.
- [6] K. KURATOWSKI, *Topology*, vol. II, Academic Press, New York and London, 1968.
- [7] S. B. NADLER JR., *Continuum Theory: An Introduction*, Monographs and Textbooks in Pure and Applied Math., vol. 158, Marcel Dekker Inc., New York, Basel and Hong Kong, 1992.
- [8] ———, *Multi-valued contraction maps*, Pacific J. Math. **30** (1969), 475–488.
- [9] I. ROSENHOLTZ, *Evidence of a conspiracy among fixed point theorems*, Proc. Amer. Math. Soc. **53** (1975), 213–218.
- [10] ———, *Local expansions, derivatives and fixed points*, Fund. Math. **91** (1975), 1–4.

*Manuscript received August 25, 2003*

SAM B. NADLER, JR.  
Department of Mathematics  
West Virginia University  
P. O. Box 6310  
Morgantown, WV 26506-6310, USA  
*E-mail address:* nadler@math.wvu.edu